vstem Description

Offline Problem

Online Mechanism

Simulation Results 00 Conclusion O

An Online Pricing Mechanism for Electric Vehicle Parking Assignment and Charge Scheduling

Nathaniel Tucker Bryce Ferguson Mahnoosh Alizadeh

Department of Electrical and Computer Engineering University of California Santa Barbara

American Control Conference, 2019, Philadelphia

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System Description

Offline Problem

Online Mechanisr 200000 Simulation Results

Conclusion O

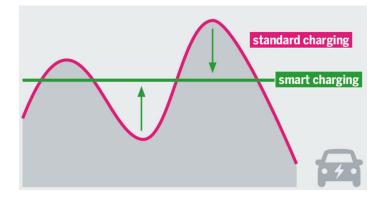
Smart Charging: Unlocking the Potential of EVs



Simulation Results

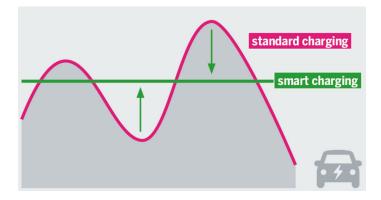
Conclusion o

Smart Charging: Unlocking the Potential of EVs





Smart Charging: Unlocking the Potential of EVs

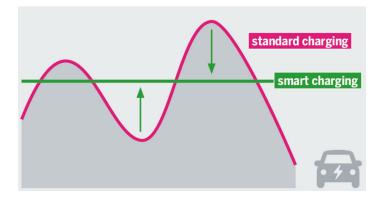


Without smart charging:

• Resulting power demand could negatively affect the grid (i.e., high demand during peak hours)



Smart Charging: Unlocking the Potential of EVs



Without smart charging:

- Resulting power demand could negatively affect the grid (i.e., high demand during peak hours)
- Cannot fully integrate renewable power generation

Motivation	System Description	Offline Problem	Online Mechanism	Simulation Results	Conclusion
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• Most past work on smart charging focuses on home charging



- Most past work on smart charging focuses on home charging
- But... EV owners spend much of their day away from home



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- But... EV owners spend much of their day away from home
- Public parking facilities have unused smart charging potential



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- But... EV owners spend much of their day away from home
- Public parking facilities have unused smart charging potential
- Can we utilize existing smart charging methods for public parking facilities equipped with chargers?



- Most past work on smart charging focuses on home charging
- But... EV owners spend much of their day away from home
- Public parking facilities have unused smart charging potential
- Can we utilize existing smart charging methods for public parking facilities equipped with chargers?

Unfortunately, no

ystem Description

Offline Problem

Online Mechanism 000000 Simulation Results

Conclusion O



- Public parking spots with EV chargers are shared resources
 - Conflicts over public charger usage
 - Low-priority users preventing high-priority users from charging

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Require online management systems for admission decisions and shared resource allocation to enable smart charging



Design **online** reservation and pricing strategies for **public** facilities equipped with **shared** EV chargers to enable **smart charging**

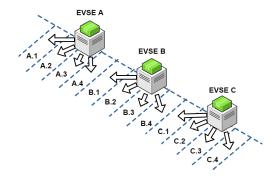


System Description

Offline Problem 000 Online Mechanism

Simulation Results 00 Conclusion O

System Description

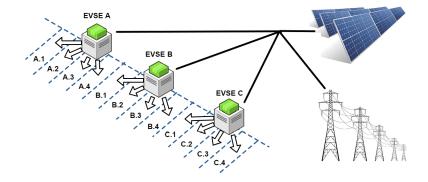


System Description

Offline Problem

Online Mechanisn 000000 Simulation Results 00 Conclusion O

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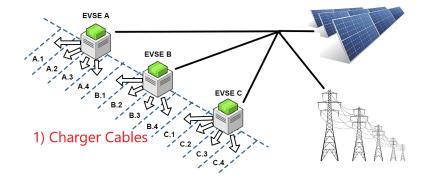


System Description

Offline Problem

Online Mechanisn 000000 Simulation Results 00 Conclusion O

System Description

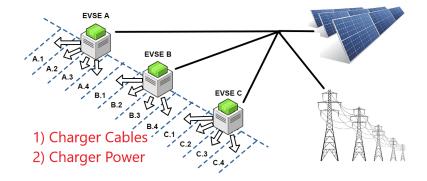


System Description

Offline Problem

Online Mechanisn 000000 Simulation Results 00 Conclusion O

System Description



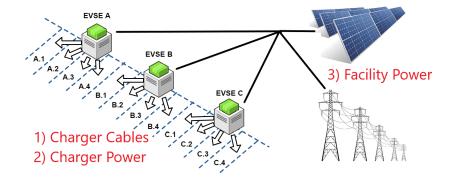
System Description

Offline Problem

Online Mechanism

Simulation Results 00 Conclusion O

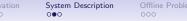
System Description





User Characteristics

• Users arrive throughout the day and have different preferences for parking locations (imagine a campus or a downtown area)



Online Mechanism

Simulation Results 00 Conclusion O

User Characteristics

- Users arrive throughout the day and have different preferences for parking locations (imagine a campus or a downtown area)
- Each user can be characterized by user 'type':

$$\theta_n = \{t_n^-, t_n^+, h_n, \{\ell_n\}, \{v_{n\ell}\}\} \in \Theta$$

System	Description
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Online Mechanism

Simulation Results

Conclusion O

User Characteristics

- Users arrive throughout the day and have different preferences for parking locations (imagine a campus or a downtown area)
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$$\theta_n = \{t_n^-, t_n^+, h_n, \{\ell_n\}, \{v_{n\ell}\}\} \in \Theta$$

- t_n^- : User *n*'s arrival time
- t_n^+ : User *n*'s departure time
- *h_n*: User *n*'s desired energy amount
- $\{\ell_n\}$: User *n*'s preferred facilities
- $\{v_{n\ell}\}$: User *n*'s valuations for charging at each facility ℓ

System Description

Offline Problem

Online Mechanisn 000000 Simulation Results

Conclusion O

Example Reservation Schedule

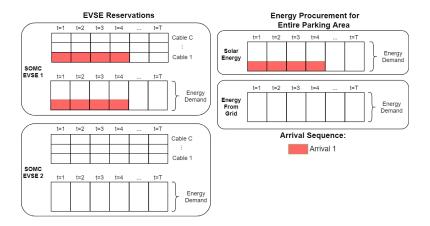


Figure: Facility schedule after 1 arrival.

System Description

Offline Problem

Online Mechanisr 000000 Simulation Results

Conclusion o

Example Reservation Schedule

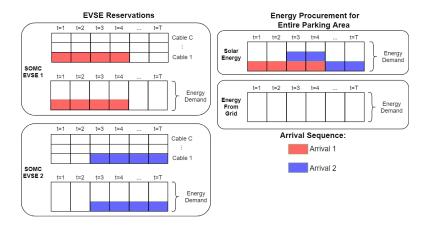


Figure: Facility schedule after 2 arrivals.

System Description

Offline Problem

Online Mechanisr 000000 Simulation Results

Conclusion O

Example Reservation Schedule

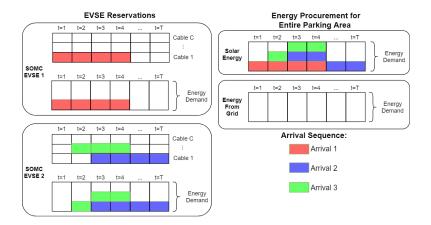


Figure: Facility schedule after 3 arrivals.

System Description

Offline Problem

Online Mechanisr 000000 Simulation Results

Conclusion O

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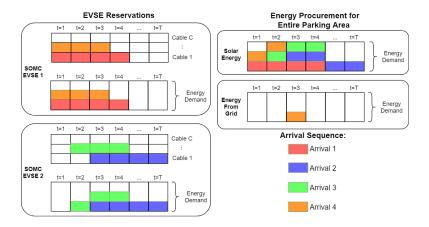


Figure: Facility schedule after 4 arrivals.

System Description

Offline Problem

Online Mechanisn 000000 Simulation Results

Conclusion O

Offline Social Welfare Maximization Problem

$$\begin{split} \max_{x} \sum_{\mathcal{N}, \mathcal{O}_{n, \mathcal{L}}, \mathcal{M}_{\ell}} v_{n\ell} x_{no}^{m\ell} &- \sum_{\mathcal{T}, \mathcal{L}} f_{g}^{\ell}(y_{g}^{\ell}(t)) \\ \text{subject to:} \\ \sum_{\mathcal{O}_{n, \mathcal{L}}, \mathcal{M}_{\ell}} x_{no}^{m\ell} &\leq 1, \quad \forall \ n \\ x_{no}^{m\ell} &\in \{0, 1\}, \quad \forall \ n, o, \ell, m \\ y_{c}^{m\ell}(t) &\leq C_{\ell}, \quad \forall \ \ell, m, t \\ y_{e}^{m\ell}(t) &\leq E_{\ell}, \quad \forall \ \ell, m, t \end{split}$$



Facilities' Electricity Costs

The energy procurement, $y_g^{\ell}(t)$, determines the operational cost of facility ℓ (i.e., purchasing electricity from the distribution grid):

$$f_g^\ell(y_g^\ell(t)) = egin{cases} 0 & y_g^\ell(t) \in [0, s_\ell(t)) \ \pi_\ell(t)(y_g^\ell(t) - s_\ell(t)) & y_g^\ell(t) \in [s_\ell(t), s_\ell(t) + G_\ell(t)] \ +\infty & y_g^\ell(t) > s_\ell(t) + G_\ell(t) \end{cases}$$

System Description

Offline Problem

Online Mechanism

Simulation Results

Conclusion 0

Admittance, Rejection, and Allocation Decisions

• Can examine KKT conditions for the dual constraints:

$$u_n \ge 0$$

$$u_n \ge v_{n\ell} - \sum_{\mathcal{T}} \left(c_{no}^{m\ell}(t) p_c^{m\ell}(t) + e_{no}^{m\ell}(t) \left(p_e^{m\ell}(t) + p_g^{\ell}(t) \right) \right)$$

System Description

Offline Problem

Online Mechanism

Simulation Results

Conclusion O

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Online Mechanism

Simulation Results

Conclusion O

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Online Mechanism

Simulation Results

Conclusion O

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• If $u_n = 0$, user *n* is rejected

• if $u_n > 0$, user *n* is admitted, allocated a parking spot and charging reservation, and is charged the following cost:

$$\hat{p}_{no}^{m\ell} = \sum_{\mathcal{T}} \left(c_{no}^{m\ell}(t) p_c^{m\ell}(t) + e_{no}^{m\ell}(t) (p_e^{m\ell}(t) + p_g^\ell(t))
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Online Mechanism

Simulation Results

Conclusion O

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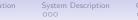


Online Mechanism •00000 imulation Results

Conclusion 0

Online Solution's Goals

• Design online reservation mechanism for public facilities equipped with shared EV chargers to enable smart charging

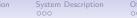


Offline Problem

Online Mechanism •00000 Simulation Results

Conclusion O

- Design online reservation mechanism for public facilities equipped with shared EV chargers to enable smart charging
- Make irrevocable admission decisions in an online fashion

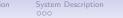


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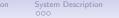
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Conclusion O

- Design online reservation mechanism for public facilities equipped with shared EV chargers to enable smart charging
- Make irrevocable admission decisions in an online fashion
- Payment at the time of admission



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- Handle adversarial arrival sequences (due to the nonstationary arrival distributions)



Conclusion O

- Design online reservation mechanism for public facilities equipped with shared EV chargers to enable smart charging
- Make irrevocable admission decisions in an online fashion
- Payment at the time of admission
- Handle adversarial arrival sequences (due to the nonstationary arrival distributions)
- Provide performance guarantees

Offline Problem

Online Mechanism

Simulation Result 00 Conclusion

Proposed Solution: Online Marginal Pricing Heuristic

• Facility does not know the future arrival sequence

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Offline Problem

Online Mechanism

Simulation Result 00 Conclusion

- Facility does not know the future arrival sequence
- Cannot accurately select prices beforehand

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$$\text{Recall: } \hat{p}_{no}^{m\ell} = \sum_{\mathcal{T}} \left(c_{no}^{m\ell}(t) p_c^{m\ell}(t) + e_{no}^{m\ell}(t) (p_e^{m\ell}(t) + p_g^{\ell}(t)) \right)$$

 Motivation
 System Description
 Offline Problem
 Online Mechanism
 Simulation Result

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• Proposed Solution: the prices $p_c^{m\ell}(t)$, $p_e^{m\ell}(t)$, and $p_g^{\ell}(t)$ have heuristic updating functions

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 System Description
 Offline Problem
 Online Mechanism
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- Proposed Solution: the prices $p_c^{m\ell}(t)$, $p_e^{m\ell}(t)$, and $p_g^{\ell}(t)$ have heuristic updating functions
 - Determine the prices for the shared resources as users arrive

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- We are able to provide performance guarantees for pricing functions of the following form:

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 System Description
 Offline Problem
 Online Mechanism

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$$egin{aligned} p_g^\ell(y_g^\ell(t)) &= \ & \left\{ egin{pmatrix} \left(rac{L_g}{2R}
ight) \left(rac{2R\pi_\ell(t)}{L_g}
ight)^{rac{y_g^\ell(t)}{s_\ell(t)}} & y_g^\ell(t) < s_\ell(t) \ & \left(rac{L_g - \pi_\ell(t)}{2R}
ight) \left(rac{2R(U_g - \pi_\ell(t))}{L_g - \pi_\ell(t)}
ight)^{rac{y_g^\ell(t)}{s_\ell(t) + G_\ell(t)}} + \pi_\ell(t) & y_g^\ell(t) \geq s_\ell(t) \end{aligned}$$

Offline Problem

Online Mechanism

Simulation Results

Conclusion O

Performance Guarantee: Competitive Ratio

• Competitive ratio:

 $\frac{\text{Optimal Offline Solution's Social Welfare}}{\text{Worst Case}[\text{Online Mechanism's Social Welfare}]} \geq 1$

Conclusion 0

Performance Guarantee: Competitive Ratio

• Competitive ratio:

 $\frac{\text{Optimal Offline Solution's Social Welfare}}{\text{Worst Case}[\text{Online Mechanism's Social Welfare}]} \geq 1$

• An online mechanism is " α -competitive" when:

 $\alpha \geq \frac{\text{Optimal Offline Solution's Social Welfare}}{\text{Worst Case[Online Mechanism's Social Welfare]}} \geq 1$

Motivation 0000 System Description

Offline Problem

Online Mechanism

Simulation Results

Conclusion O

Online Reservation System Competitive Ratio

The online EV charger reservation system that makes use of our heuristic price update functions is α_1 -competitive in social welfare where

$$\alpha_1 = 2 \max_{\mathcal{L}, \mathcal{T}} \Big\{ \ln \Big(\frac{2R(U_g - \pi_\ell(t))}{L_g - \pi_\ell(t)} \Big) \Big\}.$$

Offline Probler

Online Mechanism

Simulation Results 00 Conclusion O

Competitive Ratio: Imperfect Solar Forecast

• Daily solar generation forecast as a confidence interval:

 $s_\ell(t) \in [\underline{s}_\ell(t), \overline{s}_\ell(t)], \hspace{0.3cm} orall t = 1, \dots, T$

Offline Problem

Online Mechanism

Simulation Results

Conclusion O

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Offline Problem

Online Mechanism

Simulation Results 00 Conclusion O

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• To avoid constraint violations, use $\underline{s}_\ell(t)$ in pricing functions

Offline Problem

Online Mechanism

Simulation Results 00 Conclusion 0

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• To avoid constraint violations, use $\underline{s}_\ell(t)$ in pricing functions

Using the lower bound solar forecast, the reservation system is $\alpha_2\text{-competitive in social welfare where$

$$\alpha_2 = 2 \max_{\mathcal{L},\mathcal{T}} \Big\{ \Big(\frac{\overline{s}_{\ell}(t) + G_{\ell}(t)}{\underline{s}_{\ell}(t) + G_{\ell}(t)} \Big) \ln \Big(\frac{2R(U_g - \pi_{\ell}(t))}{L_g - \pi_{\ell}(t)} \Big) \Big\}.$$



Proof Outline

• Ensure that the "social welfare generated" by each arrival is above a "threshold value"



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- Show the online marginal pricing functions, fenchel conjugates, and facilities' operational cost functions satisfy the following *Differential Allocation-Payment Relationship*¹:

$$(p(t) - f'(y(t))) dy(t) \ge \frac{1}{\alpha(t)} f^{*'}(p(t)) dp(t)$$

¹: X. Zhang, Z. Huang, C. Wu, Z. Li, and F.C.M. Lau, 2017



Online Mechanism

Simulation Results

Conclusion O

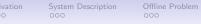
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- Show the online marginal pricing functions, fenchel conjugates, and facilities' operational cost functions satisfy the following *Differential Allocation-Payment Relationship*¹:

$$(p(t) - f'(y(t))) dy(t) \ge \frac{1}{\alpha(t)} f^{*'}(p(t)) dp(t)$$

"Social welfare generated" \geq "Threshold value"

¹: X. Zhang, Z. Huang, C. Wu, Z. Li, and F.C.M. Lau, 2017



Online Mechanism

Simulation Results

Conclusion O

Proof Outline

- Ensure that the "social welfare generated" by each arrival is above a "threshold value"
- Show the online marginal pricing functions, fenchel conjugates, and facilities' operational cost functions satisfy the following *Differential Allocation-Payment Relationship*¹:

$$ig(p(t) - f'(y(t)) ig) \mathsf{d} y(t) \geq rac{1}{lpha(t)} f^{*'}(p(t)) \mathsf{d} p(t)$$

"Social welfare generated" \geq "Threshold value"

 Resulting α₁ is the maximum α(t) over all facilities, resources, and time.

¹: X. Zhang, Z. Huang, C. Wu, Z. Li, and F.C.M. Lau, 2017

tivation	System	Description
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Offline Problem

Online Mechanisn 000000 Simulation Results

Conclusion O

Comparison with First-Come-First-Serve

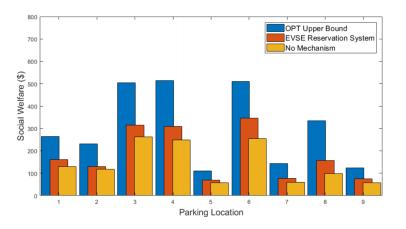


Figure: Social welfare for 9 downtown parking facilities

Motivation 0000 System Description

Offline Problem

Online Mechanism

Simulation Results

Conclusion O

Comparison with First-Come-First-Serve

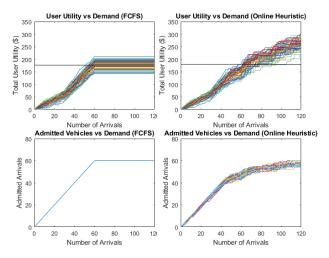


Figure: Left: FCFS. Right: Online Mechanism



Conclusion



1. Admission controller for public parking facility access



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- 2. Shared resource manager that optimizes smart charging strategies for vehicles admitted to the facilities



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Conclusion

Online reservation system for public parking facilities via heuristic pricing functions in order to enable smart charging:

- 1. Admission controller for public parking facility access
- 2. Shared resource manager that optimizes smart charging strategies for vehicles admitted to the facilities
- 3. Able to account for stochastic renewable generation
- 4. Robust to adversarially chosen arrival sequences and is α -competitive in social welfare to the optimal offline solution